
Report to: Transport Committee

Date: 01 July 2022

Subject: **Introduction to the Assurance Framework**

Director: Melanie Corcoran, Director of Delivery

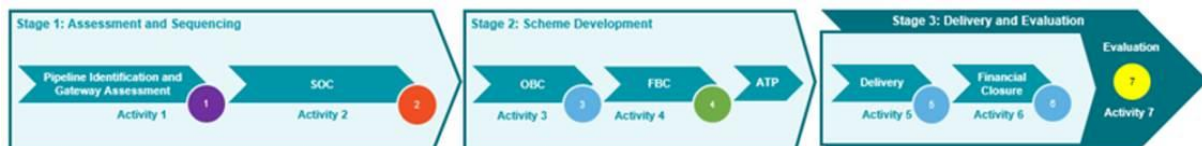
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1 Purpose of this report

1.1 To present an introduction to the Assurance Framework.

2 Information

2.1 The Assurance Framework is a three-stage approach with the requirement that all projects subject to minor exceptions as detailed in the Assurance Framework, will as a minimum, need to formally pass decision point 2 (strategic outline case) and 4 (full business case), with the requirement to meet the intervening activities deemed on a project-by-project basis.



2.2 The Combined Authority's Assurance Framework requires that formal approval is given to the following elements of a scheme as part of its development:

- The progression of a scheme through a decision point to the next activity.
- Indicative or full approval to the total value of the scheme funding requested.
- The Combined Authority's entry into a funding agreement with the scheme's promoter.
- The assurance pathway and approval route for future decision points.
- The scheme's approval tolerances.

2.3 For more detail on the Combined Authority's Assurance Framework please refer to Appendix 1 which will be presented at the Transport Committee meeting.

3 Tackling the Climate Emergency Implications

- 3.1 There are no direct implications from this paper. Climate Emergency implications are considered on all projects as part of their business case development and are included in Capital Spending and Project Approvals reports.

4 Inclusive Growth Implications

- 4.1 There are no direct implications from this paper. Inclusive Growth implications are considered on all projects as part of their business case development and are included in Capital Spending and Project Approvals reports.

5 Equality and Diversity Implications

- 5.1 There are no direct implications from this paper. Equality and Diversity implications are considered on all projects as part of their business case development and are included in Capital Spending and Project Approvals reports.
- 5.2 Equality Impact Assessments (EQIA) must be undertaken on all projects as part of their business case development.

6 Financial Implications

- 6.1 There are no financial implications directly arising from this report.

7 Legal implications

- 7.1 There are no legal implications directly arising from this report.

8 Staffing implications

- 8.1 There are no staffing implications directly arising from this report.

9 External consultees

- 9.1 No external consultations have been undertaken on this report but consultation has been undertaken with partners on the development and annual review of the Assurance Framework.

10 Recommendations

- 10.1 That the Transport Committee notes the Introduction to the Assurance Framework presentation.

11 Background Documents

- 11.1 The Assurance Framework: [Assurance Framework.pdf \(moderngov.co.uk\)](#)

12 Appendices

- 12.1 Appendix 1 – Introduction to the Assurance Framework