



## Leeds City Region Enterprise Partnership (LEP)

### Confidential complaints procedure

#### Introduction

1. The LEP is committed to creating a work environment with the highest possible standards of openness, probity and accountability. In view of this commitment we encourage any person with serious concerns about any aspect of the LEP's work to come forward and voice those concerns without fear of reprisal. All complaints or allegations will be considered under the appropriate procedure<sup>1</sup>.
2. Concerns from a member of the public or a third party<sup>2</sup> may be reported through:
  - the [LEP whistleblowing policy](#)<sup>3</sup>;
  - West Yorkshire Combined Authority's [complaints policy](#)<sup>4</sup> ;
  - the procedure for considering complaints alleging a failure to comply with the [LEP Board Members' Code of Conduct](#); or
  - this confidential complaints procedure.

#### Confidential Complaints Procedure

3. The LEP is aware that the LEP's ordinary complaints procedure may not be suitable if someone wants the complaint to remain confidential. If you are a member of the public or a third party and you want to make a confidential complaint, you should raise it under this procedure.

Please contact:

- a) Caroline Allen, Head of Legal and Governance Services and Monitoring Officer:
  - by e-mail to [Caroline.Allen@westyorks-ca.gov.uk](mailto:Caroline.Allen@westyorks-ca.gov.uk) or
  - in writing to Caroline Allen, Head of Legal and Governance Services, West Yorkshire Combined Authority, Wellington House, 40-50 Wellington Street , Leeds, LS1 2DE, or

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<sup>1</sup> The Head of Legal and Governance Services shall determine the appropriate procedure for any concern, complaint or allegation received.

<sup>2</sup> Officers or other persons working closely with the LEP may use the LEP whistleblowing policy to raise their concerns, or (officers only) the relevant grievance procedure.

<sup>3</sup> The whistleblowing policy may be followed where an individual has concerns about a danger, risk, and contravention of rules or illegality and provides useful information to address this. In doing so they are acting in the wider public interest, usually because it threatens others or impacts on public funds. The whistleblowing policy does not extend to any grievance or private complaint which is a dispute about the individual's own position and which has no or very limited public interest. The Combined Authority has a separate whistleblowing policy.

<sup>4</sup> The Combined Authority is the accountable body for the LEP which is also covered by the complaints policy.

b) Ben Still, LEP Chief Executive Officer:

- by e-mail to [Ben.Still@westyorks-ca.gov.uk](mailto:Ben.Still@westyorks-ca.gov.uk), or
- in writing to Ben Still, LEP Chief Executive Officer, West Yorkshire combined Authority, Wellington House, 40-50 Wellington Street, LS1 2DE Leeds

Please **state clearly that you want the complaint to remain confidential**, and for it to be dealt with under this procedure.

Your complaint must set out:

- a) the **substance** of the complaint, including the background and reason behind it; where, when and how it arose and who was involved. You should provide any information or evidence that may be relevant to the complaint, including names, dates or documentation in this correspondence.
- b) whether you have already raised the issue with anyone previously (for example, a member of the LEP Board or an officer), and any response received, and
- c) how you suggest the complaint could be **resolved**.

### **Confidentiality**

4. Any complaint received under this procedure will be treated in confidence, and every effort will be made to protect your identity if you wish to remain anonymous, by restricting disclosure to a “need to know” basis. However, in some circumstances (for example, where a criminal offence may have been committed) it may become necessary to reveal your identity. We will advise you if this becomes necessary, before proceeding.
5. The Ministry of Housing, Communities and Local Government may request information arising from this process, if they have concerns regarding the LEP or have been approached with similar complaints. The expectation is that this information will be provided on an anonymous basis, but it may be necessary to provide your personal details to progress a complaint.
6. Where details are gathered, the LEP will put in place appropriate data protection arrangements in line with data protection legislation. A copy of our privacy policy can be found on the LEP website: [LEP - Privacy and cookie policy](#).

### **Action taken by the LEP**

7. The Head of Legal and Governance Services or the LEP Chief Executive will nominate a designated complaints officer to investigate your complaint. The designated complaints officer should:
  - contact you within ten clear working days to acknowledge the complaint and discuss the appropriate course of action.

- write to you within 28 clear working days with findings of the investigation. If the investigation has not concluded within 28 clear working days, the officer will write to you to give reasons for the delay in resolving the complaint.
- take any necessary steps to rectify the issue.

If you are unhappy with the outcome of the complaint or the complaint involves those responsible for the complaints procedure, you should refer the complaint to the Director of Corporate Services of the West Yorkshire Combined Authority. The Combined Authority is the accountable body for the LEP and has a duty to ensure that the LEP has followed this complaints procedure correctly.

### **Anonymous complaints**

8. The LEP takes all complaints and concerns raised by members of the public and third parties seriously. The Head of Legal and Governance Services will determine whether an anonymous complaint should be investigated, taking into account:
  - the seriousness of the issue raised;
  - the credibility of the concern; and
  - the likelihood of confirming the allegation from attributable sources.

However, the best way to raise a complaint is to do so openly, as this makes it easier to investigate, reach a firm conclusion and provide feedback. Also, investigating an anonymous complaint may reveal the source of information in any event.

### **Referring your complaint to the Ministry of Housing, Communities and Local Government**

9. If you are either unable or unwilling to raise the matter directly with the LEP or you are dissatisfied with the action taken under this procedure you can refer your complaint to the Cities and Local Growth Unit in the Ministry of Housing, Communities and Local Government and the Department for Business, Energy and Industrial Strategy:
  - by e-mail to:  
localgrowthassurance@communities.gov.uk@[communities.gsi.gov.uk](mailto:communities.gsi.gov.uk) or
  - in writing to LEP Compliance Deputy Director, Cities and Local Growth Unit, Fry Block, 2 Marsham Street, London, SW1P 4DF.

Please clearly mark your email or letter as “Official – Complaints”.

10. If you would like help making a complaint you can contact your local councilor or MP. You can also get help from a specialist advice agency or organization which represents people, such as a Citizen’s Advice Bureau.
11. The Monitoring Officer will report annually to:
  - the LEP Board and

- the Combined Authority's Governance and Audit Committee

about any complaint received under this procedure during the previous financial year, and the outcome of any such complaint. All reporting will be anonymised as appropriate and identify common themes and numbers of complaints year on year. The report will highlight any actions taken to improve procedures, systems and policies.